

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Ritzdorf et al.)	<u>CERTIFICATE OF MAILING</u>
For:	Method for Filling Recessed Micro-Structures with Metallization in the Production of a Microelectronic Device)	I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Box DAC, Washington, D.C. 20231, on the date below.
Serial No.:	09/018,783)	<u>Denise Allen</u>
Filed:	February 4, 1998)	<u>November 4, 1999</u> (Date)
Examiner:	(not assigned))	
Art Unit:	1763)	

STATEMENT

The above-identified application was ruled to have become abandoned by the Patent and Trademark Office for failure to file a timely and proper response to the Notice to File Missing Parts, mailed on April 23, 1998, which set a 2 month period for response. The date of abandonment of this application is June 24, 1998, the day after the expiration date of the period set for response. A Notice of Abandonment was mailed March 26, 1999.

The abandonment of the application was caused by the failure to prosecute from an unintentional delay. The entire delay in filing the required reply from the due date until the filing of a grantable petition, pursuant to 37 CFR 1.137(b), was unintentional.

Responsibility for the application was transferred in March 1998 from the law firm of McAndrews, Held & Malloy, the firm through which the application was originally filed, to the law firm of Rockey, Milnamow & Katz. On April 23, 1998, a Notice to File Missing Parts was purportedly mailed to the McAndrews firm. The Notice was not forwarded to and/or received by the present firm, the reasons for which are unknown.

The Applicant first became aware of the abandonment when correspondence from the U.S. Patent and Trademark Office mailed to the McAndrews firm, was subsequently

forwarded to the present law firm at the end of June 1999. Enclosed in the correspondence was a Notice of Abandonment for the above referenced application.

In reviewing the file in response to the Notice of Abandonment and for preparation of a petition to revive, no Notice to File Missing Parts of Application could be found. Several calls were made to Initial Patent Examination Division beginning July 12, 1999, to obtain a copy of the original notice. A copy of the Notice was received, via facsimile, July 16, 1999.

The above noted circumstances have contributed to the delay in discovering the abandoned status of the application, and the delay in filing a petition.

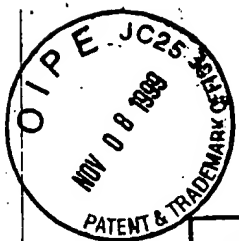
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

November 4, 1999

Date

Lawrence J. Chapa

Lawrence J. Chapa, Reg No. 39,135



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/018,783 02/04/98 RITZDORF T 11928US01

ROBERT B POLIT
MCANDREWS HELD & MALLOY LTD
500 W MADISON
SUITE 3400
CHICAGO IL 60661

0232/0423

NOT ASSIGNED

1783

DATE MAILED:

04/23/98

NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

- ☐ 1. The statutory basic filing fee is:
- ☐ missing.
 - ☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.

\$ _____ for _____ independent claims over 3.

\$ _____ for _____ dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

Office of Initial Patent Examination - Team 1

11/10/1999 VWRM11 00000006 09018783
02 FC:105 130.00 OP

